

04-03-02

MP #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
FILING COMPLETION UNDER RULE 53(f)

In re PATENT APPLICATION of

Docket/Order No.

R-687

Inventors: **GUENTHER et al.**

Deposit Account No.

50-1271

Appln. No.: **10/005,169**

Customer No.

26619

Filed: **December 4, 2001**Title: **Transgenic Mice Containing NOR1 Gene Disruptions**

Date:

March 30, 2002

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. ☒ Enclosed is a copy of the Notice to File Missing Parts.
2. ☒ Signed Declaration enclosed: ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
4. ☒ Applicants hereby claim "small entity" status pursuant to 37 CFR §1.27.

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

5. Basic Filing Fee				\$370.	\$ 370.00	201
6. Total Claims	31	minus 20 =	11	x 9.	+ 99.00	203
7. Independent Claims	15	minus 3 =	12	x 42.	+ 504.00	202
8. Multiple Dependent Claims				140.	+ 140.00	204
9. Surcharge for filing Declaration/filing fee late				65.	+ 65.00	205
10. FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION <input checked="" type="checkbox"/> ENCLOSED =					\$1,178.00	
11. Original due date: March 30, 2002						
12. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.				\$ 55. / 200. 460. / 720.	.00	215/216 217/218
13. If Assignment is included, add recording fee				\$ 40.	+ 40.00	581
14. TOTAL FEE (enclosed):					= \$1,218.00	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

DELTAGEN, INC.

740 Bay Road
Redwood City, CA 94063
Tel: (650) 569-5100
Fax: (650) 569-5280

Mariette A. Lapi
MARIETTE A. LAPIZ, Reg. No. 44,202

Express Mail Label:
Date of Deposit:

EV 007607444 US

March 29, 2002

April 1, 2002

I certify that this paper and listed enclosures are being deposited with the U.S. Post Office "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the above date, addressed to Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

Deborah A. Mojarro

April 1, 2002

Deborah A. Mojarro

04/03/2002 EABRAHA1 00000065 10005169

01 FC:201 370.00 00
02 FC:205 65.00 00
03 FC:203 99.00 00
04 FC:202 504.00 00
05 FC:204 140.00 00



APR 01 2002

PATENT & TRADEMARK OFFICE

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/005,169	12/04/2001	Catherine Guenther	R-687

CONFIRMATION NO. 6876

FORMALITIES LETTER



OC000000007394366

DELTAGEN, INC.
740 Bay Road
Redwood City, CA 94063

Rec'd
02/11/02
9m
Due: 02/30/02
FNL: 08/30/02

Date Mailed: 01/30/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/05/2002 BARRAHAI 00000065 10005169

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 DP
02 FC:205 65.00 DP
03 FC:203 99.00 DP
04 FC:202 504.00 DP
05 FC:204 140.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$743.
 - \$99 for 11 total claims over 20.
 - \$504 for 12 independent claims over 3.
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1178.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE